



# **TCP-Planet: A New Reliable Transport Protocol for InterPlaNetary Internet**

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# Challenges for Transport Layer

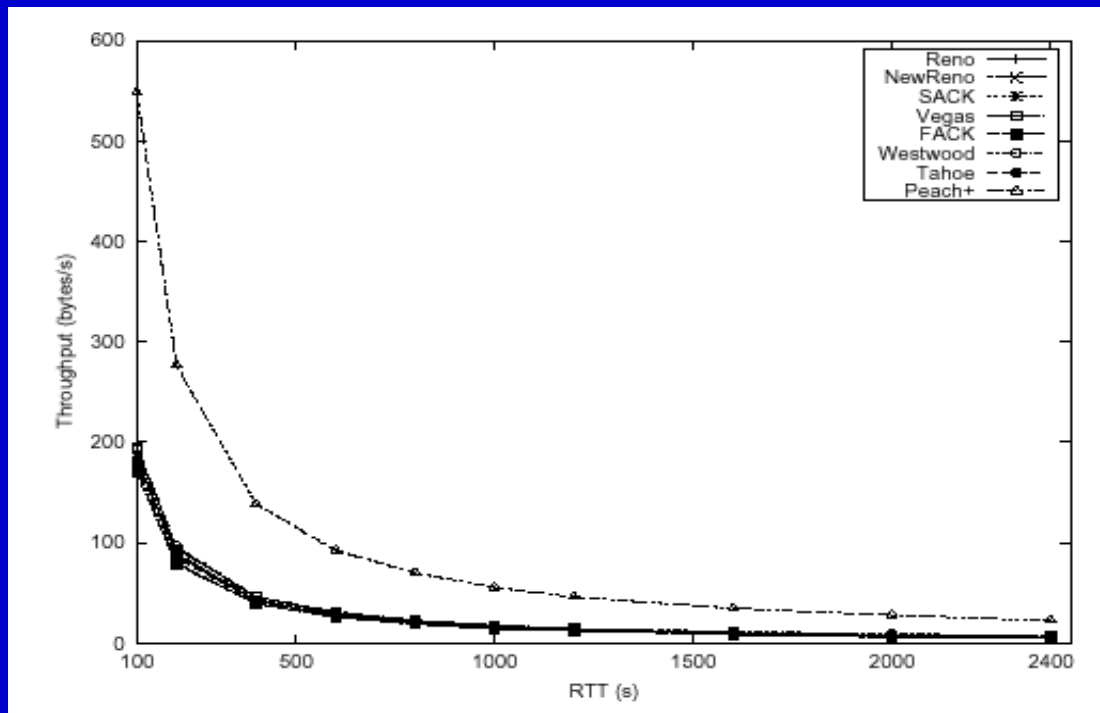
- **Extremely High Propagation Delays**
- **High Link Error Rates**
- **Asymmetrical Bandwidth**
- **Blackouts**



# Performance of Existing TCP Protocols

■ **Window-Based TCP is not suitable!!!**

For **RTT = 40 min**  $\rightarrow$  **20B/s** throughput on **1Mb/s** link !!



O. B. Akan, J. Fang, I. F. Akyildiz, "Performance of TCP Protocols in Deep Space Communication Networks",

IFA'2003 *IEEE Communications Letters*, Vol. 6, No. 11, pp. 478-480, November 2002.



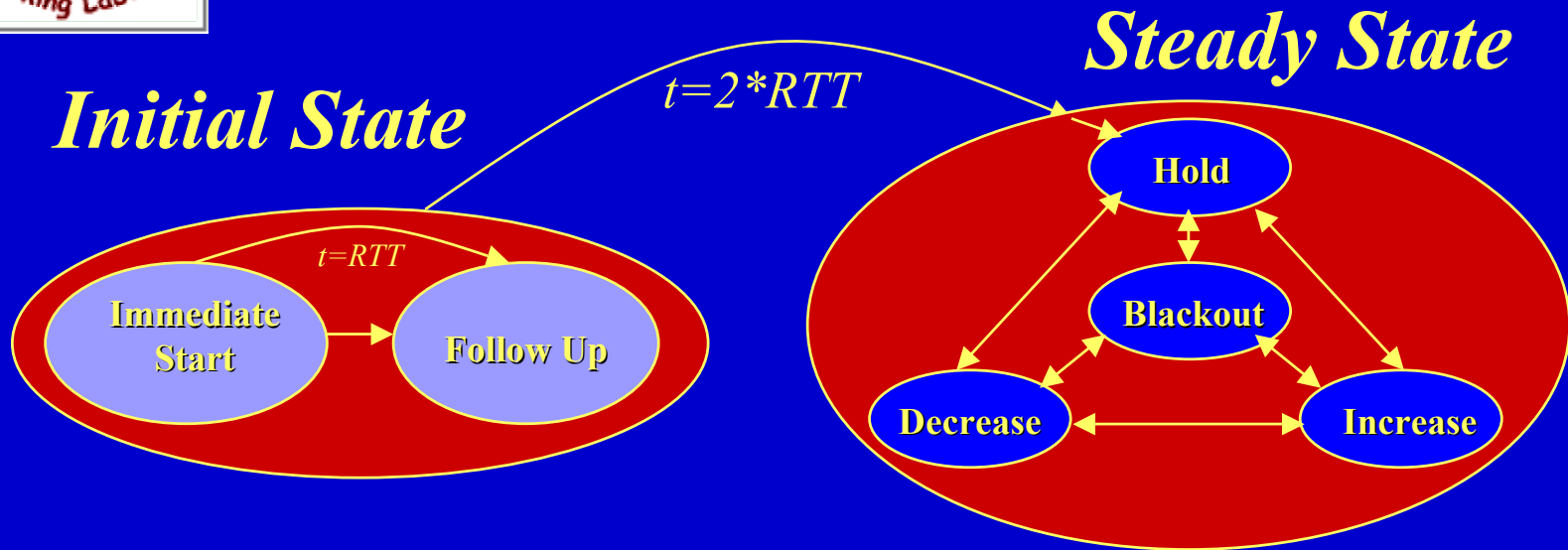
# Space Communications Protocol Standards – Transport Protocol (SCPS-TP)

- Addresses link errors, asymmetry, and outages
- SCPS-TP: Combination of existing TCP protocols:
  - Window-based
  - Slow Start
  - Retransmission Timeout
  - TCP-Vegas Congestion Control Scheme – variation of the RTT value as an indication of congestion!
- SCPS-TP Rate-Based:
  - Does not perform congestion control
  - Uses fixed transmission rate

***New Transport Protocols are needed !!!***



# TCP-Planet



- **Objective:** To address challenges of InterPlaNetary Internet
- A New *Initial State* Algorithm
- A New Congestion Detection Algorithm in *Steady State*
- A New *Rate-Based* scheme instead of *Window-Based*

\*I. F. Akyildiz, O. B. Akan, J. Fang, "TCP-Planet: A Reliable Transport Protocol for InterPlaNetary Internet", to appear in *IEEE Journal of Selected Areas in Communications (JSAC)*, early 2004.



# Initial State

## ■ Objective:

- \* Overcome the Slow Start and RTT
- \* **Determine** → **available bandwidth**
- \* **SET** the initial transmission rate,  $S_{init}$ , ASAP !!!

## ■ *Immediate Start (Within one RTT) :*

- \* Emulated Slow Start Phase
- \* Emulated Congestion Avoidance Phase

## ■ *Follow-Up (After $(RTT + T)$ until $2 * RTT$ )*

- Update transmission rate,  $S$ , according the feedbacks from the receiver



# Initial State *(Immediate Start)*

## Two Basic Ideas:

- Divide  $RTT$  into small time intervals of length  $T$

$$T = (RTT/B)^{1/2}$$

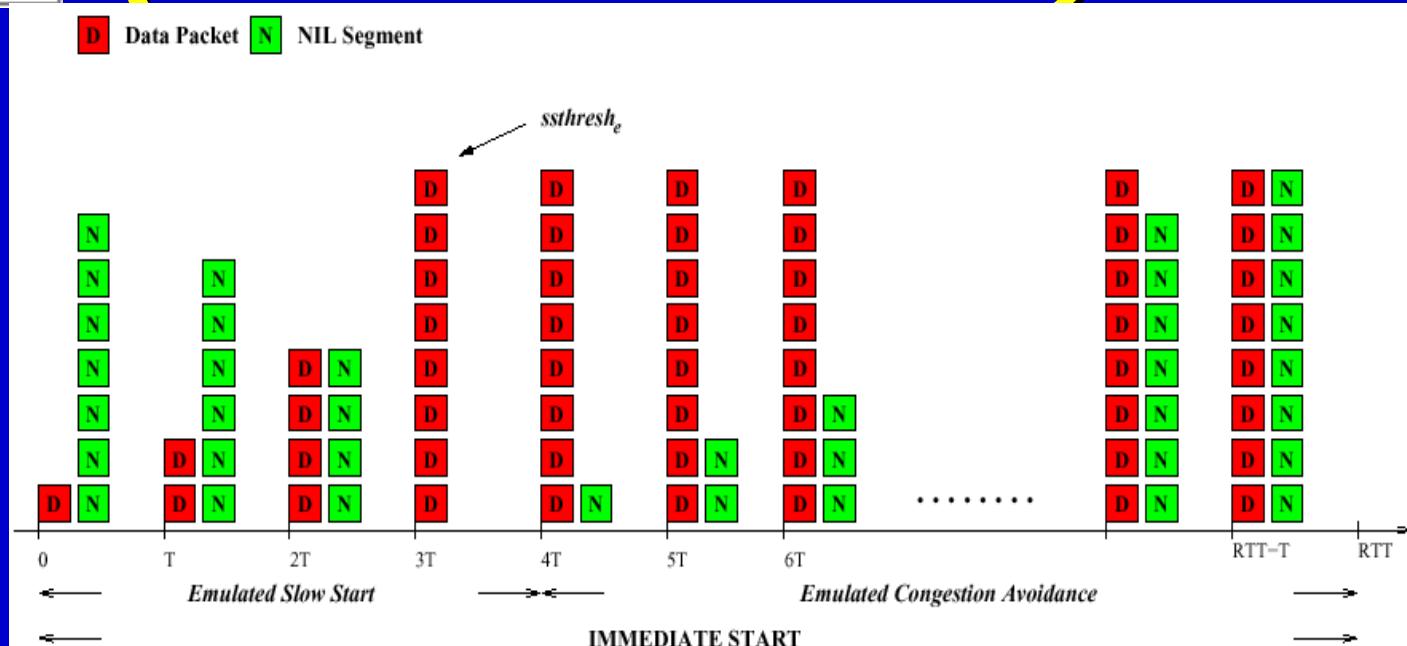
where  $B$  is the target transmission rate.

→ Use  $T$  as the RTT of the *emulated connection*.

- Use *NIL Segments (low priority)* to probe network conditions.



# Initial State (Immediate Start)



## – Emulated Slow Start :

- Send total  $ssthresh_e$  packets in each  $T$  where  $ssthresh_e = (RTT * B)^{1/2}$
- Increase number of *data packets* and decrease number of NIL packets in  $T$  until  $ssthresh_e$  is reached.

## – Emulated Congestion Avoidance :

- Increase number of NIL packets in  $T$  until  $t = RTT$ .





# Initial State (Follow-Up)

- Receiver counts and sends back the number of packets ( $N$ ) it received in every  $T$  to the sender (in ACK packets).
- At  $t=RTT+T$ 
  - Set Initial Data Rate  $S_{init}=N/T$
- At each  $t=RTT+k*T$  for  $t<2RTT$  (feedback for  $k^{th}$  interval)
  - Update data rate  $S=N_k/T$
- At  $t=2RTT$ 
  - Move to Steady State (Hold State)



# Steady State

- New *Congestion Control* Method to address link problems (also distinguish between congestion and link errors!!)
- New *Adaptive Rate-Based* AIMD protocol
- *Blackout State* to address the link outages
- *Delayed-SACK* to address the bandwidth asymmetry



# Steady State (Congestion Control)

- **Source:** Sends periodically *NIX segments*
  - Low and high priority
  - Small size, e.g., 40 bytes.
  - Both are sent with the same rate (= data rate).

**Objective** → Congestion decision based on the statistics of these received segments!

**Fact 1.** Since both are equal in size and both are sent with same rate, they may experience the same loss rate due to SPACE LINK ERRORS.

**Fact 2:** In case of congestion, low priority NIX segments are discarded → **DIFFERENT LOSS RATES.**



# Steady State (Congestion Control)

*Let*

$$\Phi := N_{low} / N_{high}$$

**If  $\Phi < 1 \rightarrow \text{CONGESTION!!!!}$**

**NOTE:**

**Receiver does not ACK NIX segments**

**→ but periodically sends back the number of low and high *NIX packets received, i.e.,  $N_{low}$  and  $N_{high}$  within a sliding time window.***



# Steady State (Congestion Control)

- Compare  $\Phi$  with  $\phi_d$  and  $\phi_i$   
(Congestion Decision Thresholds)
- If ( $\Phi > \phi_i$ )
  - Move to *Increase State*  $\rightarrow S = S + \alpha$
- If ( $\Phi < \phi_d$ )
  - Move to *Decrease State*  $\rightarrow S = S * \xi$
- If ( $\phi_d < \Phi < \phi_i$ )
  - Move to *Hold State*  $\rightarrow S = S$



# Steady State

## (Adaptive Rate-Based AIMD)

- Default AIMD parameters ( $\alpha=1, \xi=0.5$ ) not suitable for long delay space links
- Throughput,  $T$ , depends on  $RTT, p, \alpha$ , and  $\xi$ .

$$T = \frac{\alpha}{4 \cdot (1 - \xi)} \left[ 1 + \xi + \sqrt{(3 - \xi)^2 + \frac{8 \cdot (1 - \xi^2)}{\alpha \cdot RTT \cdot p}} \right]$$

- New Adaptive Rate-Based AIMD scheme
  - Set  $\xi$  high from ( $1 > \xi > 0.5$ ).
  - Adjust AIMD increase parameter  $\alpha$  based on link conditions  $RTT, p$  to reach target throughput  $B$

$$\alpha = \frac{(1+\xi)}{2} \left( B + \frac{1}{RTT \cdot p} \right) \left[ \sqrt{1 + \frac{8B^2(1-\xi)}{\left( B + \frac{1}{RTT \cdot p} \right)^2 (1+\xi)^2}} - 1 \right]$$



# Steady State (*Blackout State*)

- If sender does not receive ACK for a certain period  
→ goes to *Blackout State*
- **Blackout State**
  - *Objective:* To reduce the throughput degradation due to blackout.
  - Source stops sending new data packets but keeps sending NIX packets.
  - Receiver keeps sending NIX ACKs including  $N_{low}$  and  $N_{high}$ .
  - If the source starts to receive NIX ACKs with  $(N_{low}=0, N_{high}=0)$  → goes to *HOLD State*.
  - Otherwise, the new state is decided based on  $\Phi$ .



# Steady State

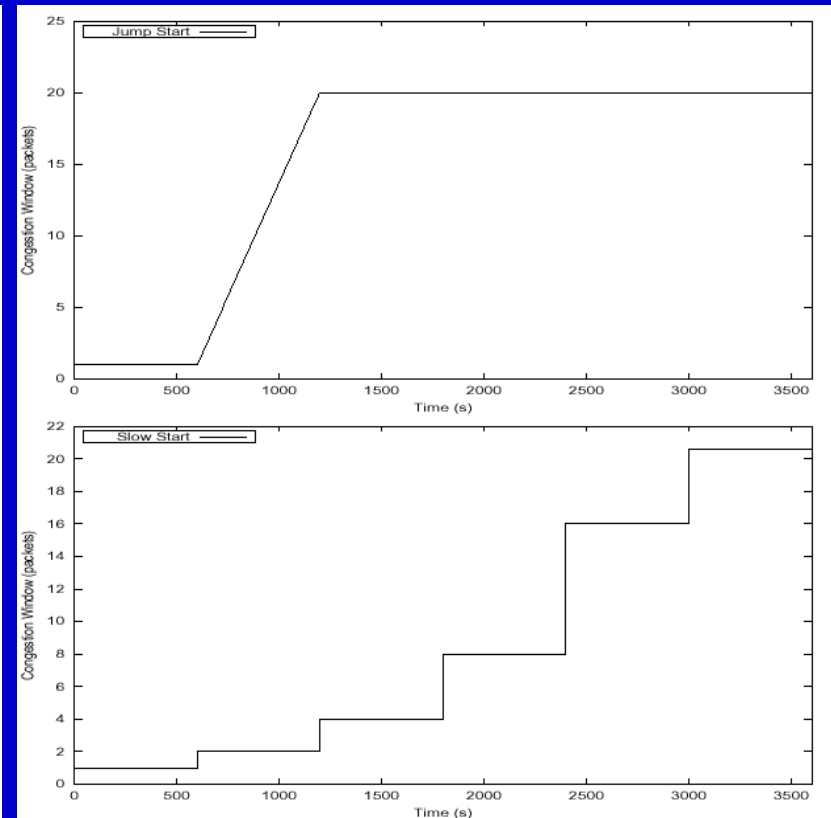
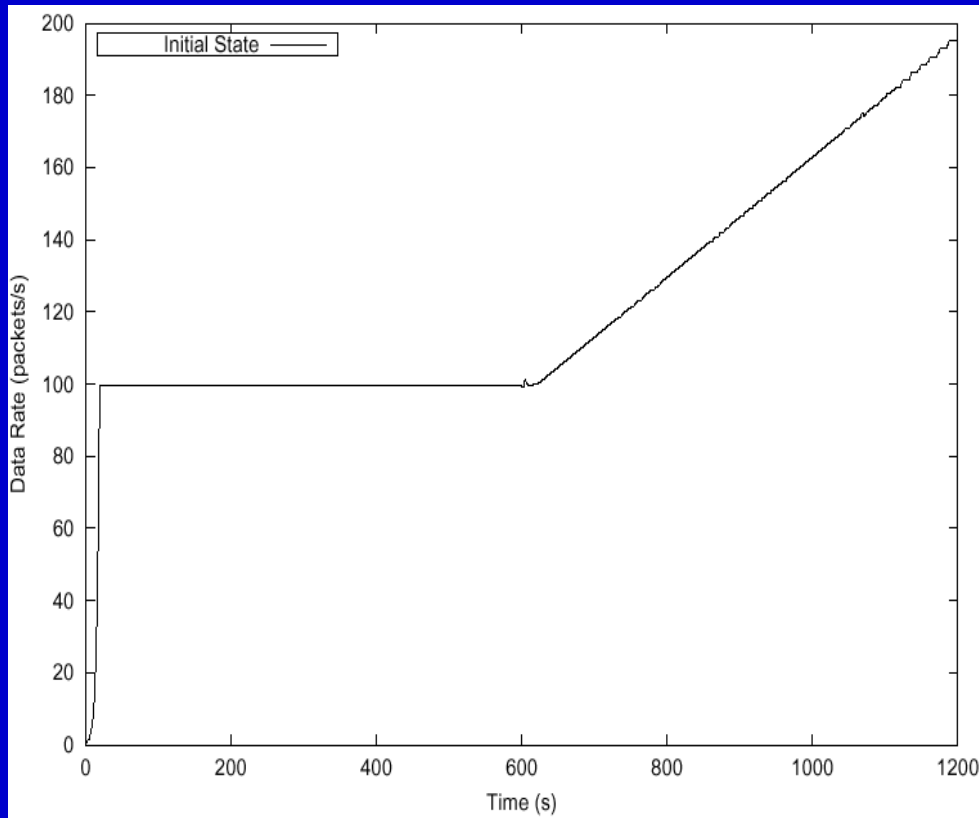
## *(Bandwidth Asymmetry)*

- **ACK for each packet leads to congestion in the reverse link for asymmetrical space links**
- **Delayed-SACK**
  - SACK is used for reliability.
  - Receiver delays SACK packets to avoid congestion in the reverse link.
  - If no packet loss, then receiver sends **1 SACK** per ***d*** (delayed-SACK factor) received packets.
  - If packet loss occurs (SACK fields need to be updated), receiver sends a new **SACK** immediately.





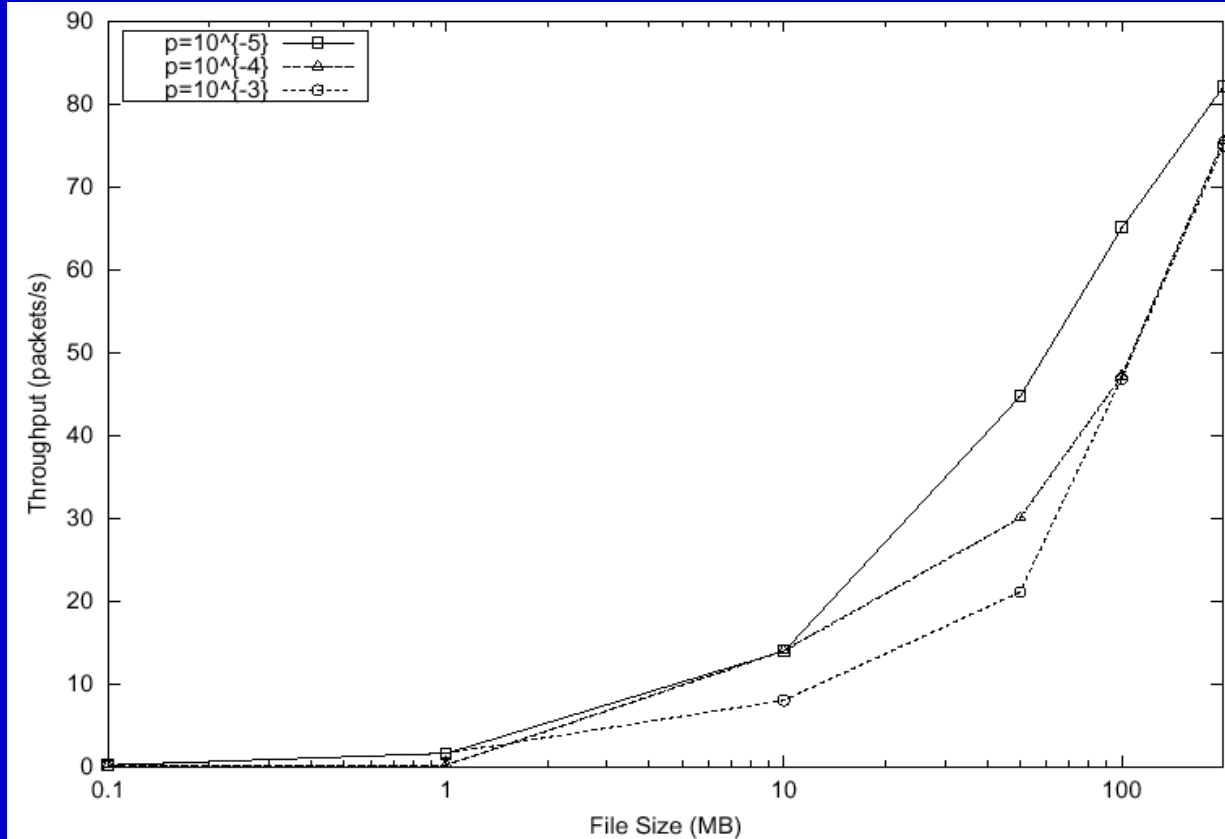
# Performance Evaluation *(Initial State)*



- Initial State (TCP-Planet) vs. Jump Start (TCP-Peach+) and Slow Start (TCP); RTT=600 sec;  $p=10^{-5}$ ; Target Rate = 100 packets/sec.



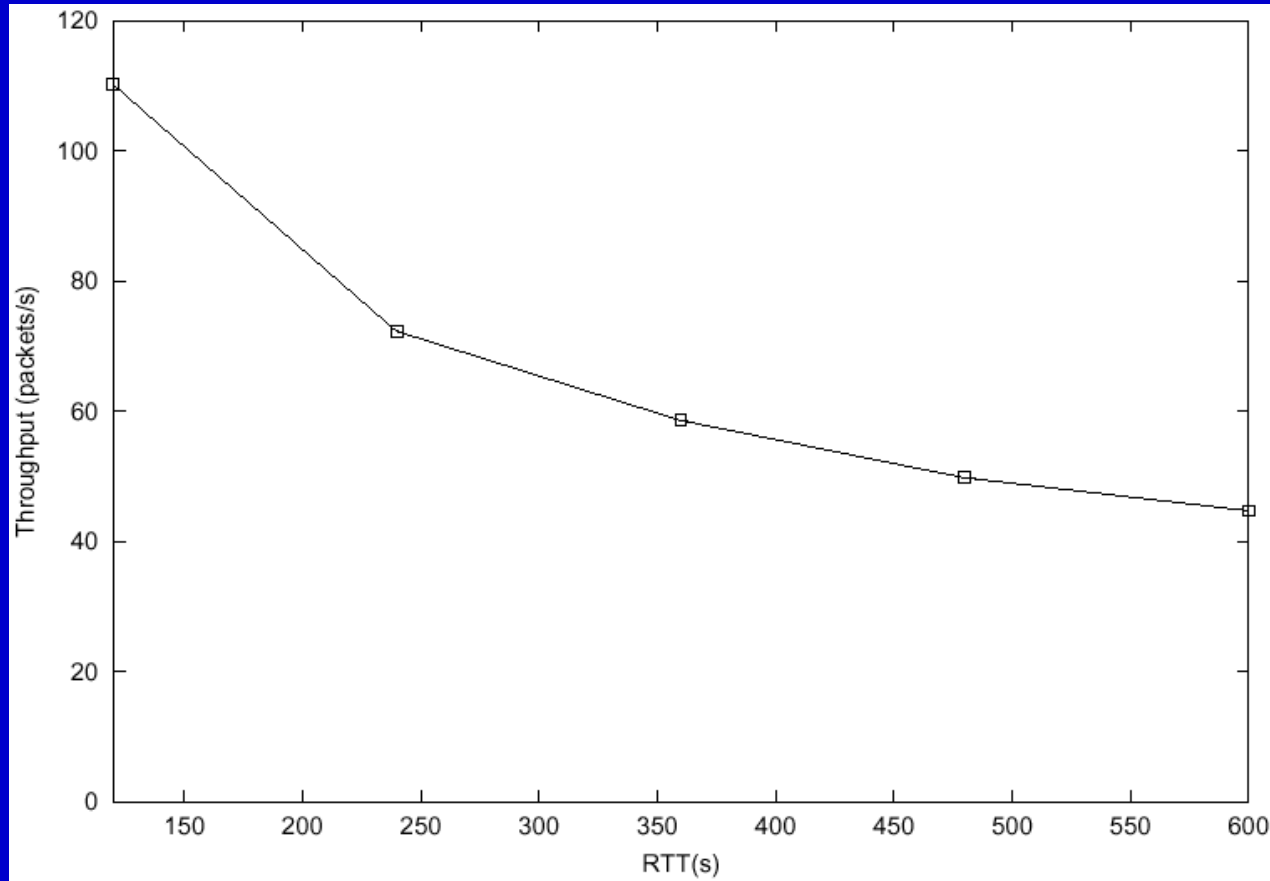
# Performance Evaluation (*Throughput*)



- Throughput vs. File size;  $RTT=600\text{ s}$ ,  $p=10^{-5}, 10^{-4}, 10^{-3}$ , Link 1Mb/s; Target rate = 100 packets/sec ( $\rightarrow$  100 KB/sec for data packets of size 1KB). NOTE: 200 MB  $\rightarrow$  Vegas (SCPS-TP)  $\rightarrow$  30 B/sec;  
 $\rightarrow$  Planet  $\rightarrow$  83 KB/sec !!!!! 18



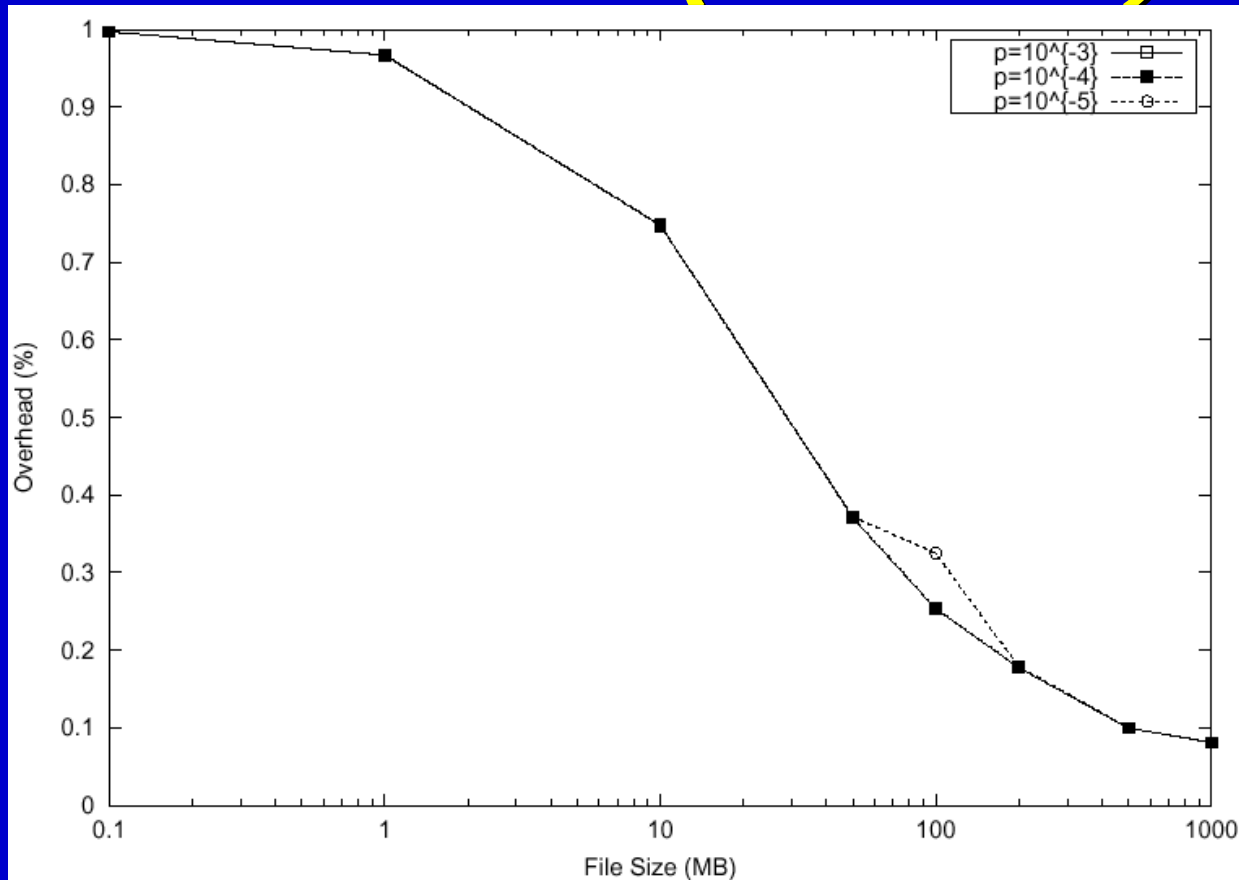
# Performance Evaluation (*Throughput*)



- Throughput vs. RTT; File Size = 50MB,  $p = 10^{-5}, 10^{-4}, 10^{-3}$ , Link Rate: 1Mb/s, Target Rate = 100 KB/s



# Performance Evaluation (*Overhead*)



- TCP-Planet Overhead due to NIL (in Initial State) and NIX (in Steady State) packet transmissions. Varying file size, RTT=600 s,  $p=10^{-5}, 10^{-4}, 10^{-3}$ , link 1Mb/s, Target rate = 100 KB/s



# Conclusions

- **Existing TCP protocols not suitable for InterPlaNetary Internet**
- **TCP-Planet for InterPlaNetary Internet**
  - New Initial State instead of Slow Start
  - New Rate-based AIMD instead of window-based
  - New Congestion Control to address link errors
  - Blackout State to address link outages
  - Delayed SACK for bandwidth asymmetry

**Performance evaluation shows TCP-Planet addresses the challenges and significantly improves the network performance.**

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